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FORM PTO-1082

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Express Mail Label No. EL 589 806 173 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

 JC922 U.S. PTO
 09/692778
 10/19/00

In re application of:

Tatsuki WADE and Hiroshi SUGIHARA both of Nagano, Japan

Serial No: Not assigned

Filed: October 19, 2000

For: OPTICAL PICKUP APPARATUS AND METHOD OF
MANUFACTURING THE SAME
 Box PATENT APPLICATION
 Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number

000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 5 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 28 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☒ A certified copy of Japanese Patent Application No. 11-299009 filed October 21, 1999 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	25	-20	5	LG=\$18 SM=\$9	\$18	90
B INDEPENDENT CLAIMS FEE	4	-3	1	LG=\$80 SM=\$40	\$80	80
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						170
D MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135	\$ 0
E BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355	\$ 710
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 880
G ASSIGNMENT RECORDING FEE					\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 10, 16, 21.						

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
- ☐ Any additional filing fees required under 37 C.F.R. 1.16
- ☐ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,
HOGAN & HARTSON L.L.P.

By:

 William H. Wright
 Registration No. 36312
 Attorney for Applicant(s)

Date: October 19, 2000

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Tatsuki WADE et al.

Serial No: Not assigned

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For: OPTICAL PICKUP APPARATUS AND METHOD OF
MANUFACTURING THE SAME

Art Unit: Not assigned

Examiner: Not assigned

JC922 U.S. PTO
09/692778
10/19/00

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Date of Deposit: October 19, 2000

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (28 page(s) of specification; 25 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of informal drawings
- ☒ certified copy of Japanese patent application No. 11-299009 which was filed
October 21, 1999 from which priority is claimed in the subject case pursuant to 35
U.S.C. § 119
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee"
service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: October 19, 2000

Hogan & Hartson, LLP
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James Hernandez

Name of person mailing papers

James Hernandez

Signature